agenda as of March 31, 2010

The Ritz-Carlton
4040 Central Florida Parkway, Orlando, FL 32837
Telephone: 800 682 3665
www.the-ritz-carlton.grandelakes.com

Wednesday April 14, 2010

1:00–8:00 pm *Plaza Foyer*
Check In & Registration

5:00–6:30 pm *Ritz-Carlton Foyer*
Cocktail Reception

6:30–9:00 pm *Tuscany Ballroom*
Opening Night Dinner *Sponsored by TD AMERITRADE*

Welcome:
– Sterling Shea, Managing Director, *Barron's*

Keynote Speaker:
– Tom Bradley, President, TD AMERITRADE Institutional

9:00–10:00 pm *Plaza 1 Ballrooom*
Top 100 Awards Reception *Sponsored by iShares*

(Top 100 Independent FAs Only)

Thursday, April 15

7:00 am–6:00 pm *Plaza Foyer*
Registration/Information Desk Open

7:00–8:00 am *Ritz-Carlton Foyer*
Breakfast

8:00–9:20 am *Tuscany Ballroom*
General Session

Welcome:
– Sterling Shea, Managing Director, *Barron's*

Keynote Speaker:
– Bernard Clark, Senior Vice President & Head of Schwab Advisor Services, Charles Schwab

Panel Discussion:
* *Three Veteran Independent Advisors Discuss Trends & Opportunities in Today's Market*

– Ron Carson, Carson Wealth Management Group
– Steve Lockshin, Convergent Wealth Advisors
– John Rafal, Essex Financial Services, Inc.

Thursday, April 15

9:20–9:35 am *Ritz-Carlton Foyer*
Break

9:35–10:25 am
Breakout Sessions with the Winners

Breakout 1 *Ritz-Carlton 1*
* *Inflation & Deflation: What Are the Risks and How Do We Hedge Against Them?*

– George Dunn, Convergent Wealth Advisors
– Lori Van Dusen, Convergent Wealth Advisors

Breakout 2 *Ritz-Carlton 2*
Growth Through Mergers & Acquisitions: Is This Right for Your Practice?

– Glenn Kautt, The Monitor Group, Inc.
– Paul Tramontano, Constellation Wealth Advisors, LLC
– Jane Williams, Sand Hill Global Advisors, LLC

Breakout 3 *Ritz-Carlton 3*
* *America's Top ETF Advisors*

– Greg Sullivan, Harris SBSB
– Michael Yoshikami, YCMNET Advisors

Breakout 4 *Ritz-Carlton 4*
* *Re-Thinking Conventional Wisdom: Debating Asset Allocation & MPT*

– Donna Levy, Sontag Advisory, LLC
– Gregory Thomas, ThomasPartners, Inc.

10:25–10:50 am *Ritz-Carlton Foyer*
Break

10:50–11:40 am *Details on page 3*
Discussion Groups

11:40 am–1:40 pm *Tuscany Ballroom*
Lunch *Sponsored by Fidelity Investments*

Keynote Speaker:

– Michael Durbin, President, Institutional Wealth Services, Fidelity Investments

1:40–1:55 pm *Ritz-Carlton Foyer*
Break

1:55–2:45 pm
Breakout Sessions with the Winners

Breakout 1 *Ritz-Carlton 1*
Optimizing Talent: Development, Expansion and Utilization of Your Team

– James Blair, Moneta Group, LLC
– Jon Jones, Brighton Jones, LLC
– Grant Rawdin, Wescott Financial Advisory Group LLC

Breakout 2 *Ritz-Carlton 2*
* *Incorporating Investment Planning & Estate Planning for High-Net-Worth Clients*

– Jeffrey Cohen, Siller & Cohen
– Malcolm Makin, Professional Planning Group

Breakout 3 *Ritz-Carlton 3*
* *Discretionary Portfolio Management in a Volatile Market*

– Patrick Horan, Horan Capital Management, LLC
– Susan Kaplan, Kaplan Financial Services, Inc.

Breakout 4 *Ritz-Carlton 4*
* *Analyzing Risks & Opportunities in the Fixed-Income Market*

– Thomas Bartholomew, Bartholomew & Company, Inc.
– Aaron Izenstark, IRON Financial, LLC

Thursday, April 15

2:45–3:00 pm *Ritz-Carlton Foyer*
Break

3:00–3:50 pm
Breakout Sessions with the Winners

Breakout 1 *Ritz-Carlton 1*
* *Differentiating Yourself and Gaining Assets with an 'Advance and Protect' Strategy*
– Ron Carson, Carson Wealth Management Group

Breakout 2 *Ritz-Carlton 2*
* *Strategies in Structured Products: Are You Using Them? Why or Why Not?*
– Mitchell Eichen, The MDE Group, Inc.
– Todd Feltz, Feltz WealthPLAN

Breakout 3 *Ritz-Carlton 3*
* *Insights to Creating & Managing the Ultimate Client Experience*
– Randy Carver, Carver Financial Services, Inc.
– Robert Clarfeld, Clarfeld Financial Advisors, Inc.

Breakout 4 *Ritz-Carlton 4*
* *Real Asset Strategies: Uncovering Opportunities in MLPs, REITs and Commodities*
– Andy Berg, Homrich Berg, Inc.
– Gregory Reid, Telemus Capital Partners, LLC

3:50–4:00 pm *Ritz-Carlton Foyer*
Break

4:00–5:00 pm *Tuscany Ballroom*
General Session
Keynote Speaker:
Surviving Regulatory Scrutiny
– Thomas D. Giachetti, Esq., Chairman, Securities Practice Group of Stark & Stark, Attorneys at Law

Speaker:
* *One of the Industry's Most Dynamic Advisors Shares Ten Observations on the Independent Wealth Market*
– Ric Edelman, Edelman Financial Services, LLC

5:00–6:00 pm *Ritz-Carlton Foyer*
Cocktail Reception

Friday, April 16

7:00 am–12:00 pm *Plaza Foyer*
Registration/Information Desk Open

7:00–8:00 am *Ritz-Carlton Foyer*
Breakfast

8:00–8:30 am *Tuscany Ballroom*
General Session
Keynote Speaker:
– Mike Santoli, Associate Editor, *Barron's*

8:30–8:45 am *Ritz-Carlton Foyer*
Break

Friday, April 16

8:45–9:35 am
Breakout Sessions with the Winners

Breakout 1 *Ritz-Carlton 1*
Leveraging Media to Build Your Brand
– Nathan Bachrach, The Financial Network Group, Ltd.
– Meg Green, Meg Green & Associates

Breakout 2 *Ritz-Carlton 2*
* *A Critical Challenge: Strategies in Retirement-Income Planning*
– Alan Leist, Strategic Financial Services, LLC
– Ronald Weiner, RDM Financial Group, Inc.

Breakout 3 *Ritz-Carlton 3*
* *Overplayed or Undervalued: Finding Success in the Real Estate Market*
– Lewis Altfest, Altfest Personal Wealth Management
– Cheryl Holland, Abacus Planning Group, Inc.

Breakout 4 *Ritz-Carlton 4*
* *Making Socially Responsible Investing a Part of Your Practice*
– Ted Cronin, Manchester Capital Management, LLC
– E. Dryden Pence III, Pence Wealth Management

9:35–9:50 am *Ritz-Carlton Foyer*
Break

9:50–10:40 am
Breakout Sessions with the Winners

Breakout 1 *Ritz-Carlton 1*
Facing a Client's Wrath: Techniques to Achieve a Successful Resolution
– William Urban, Bingham Osborn & Scarborough, LLC
– Charles Zhang, Zhang Financial

Breakout 2 *Ritz-Carlton 2*
* *Wealth Without Borders: Building & Servicing International Clientele*
– Santiago Ulloa, GenSpring Family Offices, LLC

Breakout 3 *Ritz-Carlton 3*
* *Comprehensive Delivery of Wealth Management Services*
– Charles Brighton, Brighton Jones, LLC
– John Waldron, Waldron Wealth Management

10:40–10:55 am *Ritz-Carlton Foyer*
Break

10:55–11:45 am *Tuscany Ballroom*
General Session
* *Alternative Investments: Rhetoric & Reality*
– Don DeWaay, DeWaay Capital Management
– Paul Tramontano, Constellation Wealth Advisors, LLC

Closing Remarks
– Sterling Shea, Managing Director, *Barron's*

Attendees of the Barron's Winner's Circle conference can apply for 8 CE CFP and 11 Non-IMCA Credits. See Registration Desk for details.

**1 CFP Credit Applicable*

discussion groups as of March 31, 2010

BARRON'S WINNER'S CIRCLE
Top Independent Advisors Summit

Below are offerings of informal discussion groups led by our Top 100 Independent Financial Advisors on a variety of topics affecting you and your business today. Please review the list and then pre-register for the discussion group that you plan to attend. The session will begin at 10:50 am. Space will be limited to 10 participants per group. We are asking all attendees to pre-register to insure that the groups remain small and conducive to meaningful discussion among participants.

Thursday, April 15

10:50–11:40 am
Discussion Groups:

401(K) Advice & the Growing Rollover IRA Market
- David Kudla, Mainstay Capital Management, LLC — *Trieste*

Alternative Investments
- Cheryl Holland, Abacus Planning Group, Inc. — *Palazzo G*
- Roland Manarin, Manarin Investment Council, Ltd. — *Palazzo G*
- Lori Van Dusen, Convergent Wealth Advisors — *Palazzo G*

Business Development Ideas
- Richard Burridge Jr., RMB Capital Management, LLC — *Verona 1*
- Gary Ran, Telemus Capital Partners, LLC — *Verona 1*
- Gregory Thomas, ThomasPartners, Inc. — *Verona 2*
- Jane Williams, Sand Hill Global Advisors, LLC — *Verona 2*

Emerging Markets
- Jon Goldstein, Constellation Wealth Advisors, LLC — *Capri*
- Greg Sullivan, Harris SBSB — *Capri*

Exchange Traded Funds
- Gerard Klingman, Klingman & Associates, LLC — *Heron*

Facing Industry Challenges
- Richard Brown, JNBA Financial Advisors — *Palazzo F*
- Ronald Weiner, RDM Financial Group, Inc. — *Palazzo F*
- Dale Yahnke, Dowling & Yahnke, LLC — *Palazzo F*

Managing High-Net-Worth Accounts ($1-10mm)
- Shari Burns, Paragon Investment Management, Inc. — *Boardroom*

Managing Ultra-High-Net-Worth Accounts ($10mm+)
- Ted Cronin, Manchester Capital Management, LLC — *Genoa 3*
- David Lees, myCIO Wealth Partners, LLC — *Genoa 3*
- John Lesser, Plante Moran Financial Advisors, LLC — *Genoa 2*
- Steve Lockshin, Convergant Wealth Advisors — *Genoa 2*

Marketing
- Nathan Bachrach, Financial Network Group, Ltd. — *Segura 1*
- Randy Carver, Carver Financial Services, Inc. — *Segura 1*
- Robert Fragasso, Fragasso Financial Advisors — *Segura 2*
- Patrick Horan, Horan Capital Management, LLC — *Segura 2*

New Investment Themes
- George Dunn, Convergent Wealth Advisors — *Ritz-Carlton Ballroom 1*
- Mitchell Eichen, The MDE Group, Inc. — *Ritz-Carlton Ballroom 2*
- Gregory Reid, Telemus Capital Partners, LLC — *Ritz-Carlton Ballroom 3*
- Steven Weinstein, Altair Advisers, LLC — *Ritz-Carlton Ballroom 4*

Non-Modeled Portfolio Management
- Laila Pence, Pence Wealth Management — *Segura 4*
- Wally Obermeyer, Obermeyer Asset Management Company — *Segura 4*

Retirement Planning & 401(K)
- James Blair, Moneta Group, LLC — *Segura 5*
- John Merrill, Tanglewood Wealth Management — *Segura 5*

Teams
- Meg Green, Meg Green & Associates — *Palazzo H*
- Grant Rawdin, Wescott Financial Advisory Group, LLC — *Palazzo H*